

"Express Mail" Label No. EV 332109427 US

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**PATENT**

Atty. Docket No.: 015280-291300US

Client Ref. No.: E-147-1996/1-US-06

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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

By: 

Jo Ann Honcik Dallara

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Gozes et al.

Application No.:

Filed: July 17, 2003

For: ACTIVITY DEPENDENT  
NEUROTROPHIC FACTOR III (ADNF  
III)

Examiner: To be assigned

Technology Center/Art Unit: To be  
assigned

COMMUNICATION UNDER

37 C.F.R. §§ 1.821-1.825

AND

PRELIMINARY AMENDMENT

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In order to comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, 37 C.F.R. §§ 1.821-1.825, Applicants submit that the computer-readable form in the instant application is identical with that filed in parent Application No. 09/187,330, filed November 6, 1998. In accordance with 37 C.F.R. § 1.821(e), please use the computer-readable form filed in Application No. 09/187,330 as the computer-readable form for the instant application. A paper copy of the Sequence Listing from Application No. 09/187,330 is submitted herewith. The information in the paper copy of the Sequence Listing is identical to that which is in the computer readable form, as required under 37 C.F.R. § 1.821(f).

It is understood that the Patent and Trademark Office will make the necessary changes in application number and filing date for the computer-readable form that will be used for the instant application.

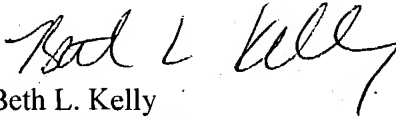
Please amend the instant specification in adherence with 37 C.F.R. §§ 1.821-1.825 as supplied in the "Communication under 37 C.F.R. §§ 1.821-1.825 and Preliminary Amendment" which was submitted to the U.S. PTO in the parent Application No. 09/197,330 on March 18, 1999, a copy of which is attached.

REMARKS

Applicants request entry of this amendment in adherence with 37 C.F.R. §§1.821 to 1.825. The information contained in the computer readable form of Application No. 09/187,330 was prepared through the use of the software program "PatentIn" and is identical to that of the paper copy, a copy of which is enclosed for the convenience of the Examiner. This amendment contains no new matter.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

Respectfully submitted,



Beth L. Kelly  
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